## CS-3510: Design and Analysis of Algorithms

### Graph Algorithms: Definitions and Traversal

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College of Computing Georgia Institute of Technology Summer 2022



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# Graph

- Graph definition and representation
  - Adjacency matrix
  - Adjacency list
- Graph traversal
  - Breadth first search (BFS)
    - Shortest path (<u>unweighted</u> graphs)
    - Testing bipartiteness
    - Tree traversal (level-order)
    - Connected components
  - Depth first search (DFS)
    - Topological sorting
    - Tree traversal (in-order, pre-order, post-order)
    - Connected components

- Graph problems/algorithms
  - Minimum spanning tree (MST)
    - Kruskal (greedy)
    - Prim (greedy)
  - Shortest path (directed weighted graphs)
    - Dijkstra (greedy)
    - Bellman-Ford (dynamic programming)
    - Floyd-Warshall (dynamic programming)
  - Flow network
    - Max-flow min-cut theorem
    - Ford-Fulkerson algorithm



## Graph

- Review of graph definition and representation
  - Adjacency matrix
  - Adjacency list

- Graph traversal
  - Breadth first search (BFS)
  - Depth first search (DFS)



- Notation. G = (V, E)
  - V =nodes (or vertices).
  - E = edges (or arcs) between pairs of nodes.
  - Captures pairwise relationship between objects.
  - Graph size parameters: n = |V|, m = |E|.

$$V = \{ 1, 2, 3, 4, 5, 6, 7, 8 \}$$

 $E = \{ 1-2, 1-3, 2-3, 2-4, 2-5, 3-5, 3-7, 3-8, 4-5, 5-6, 7-8 \}$ 

m = 11, n = 8



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- Notation. G = (V, E)
  - $V = \text{nodes} \text{ (or vertices). } \{0, 1, 2, ..., n-1\}$
  - E = edges (or arcs) between pairs of nodes. { $e_1, e_2, \dots e_m$ } where  $e_i = (v_i, v_j)$
  - Captures pairwise relationship between objects.
- Weighted vs. unweighted
  - Weights = properties assigned to edges (usually) and/or nodes
  - E.g., distance, cost, time

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  - Captures pairwise relationship between objects.
- Directed vs. undirected
- Weighted vs. unweighted

 $V = \{ 1, 2, 3, 4, 5, 6, 7, 8 \}$ 

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m = 11, n = 8

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- Notation. G = (V, E)
  - V =nodes (or vertices).
  - E = edges (or arcs) between pairs of nodes.
- Graph parameters:
  - Graph size parameters: n = |V|, m = |E|.
  - Degree(i): number of edges on node i
    - In-degree (directed networks): number of incoming links
    - Out-degree (directed networks): the number of outgoing links



• Adjacency matrix. *n*-by-*n* matrix with  $A_{uv} = 1$  if (u, v) is an edge.

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- Two representations of each edge.
- Space proportional to  $n^2$ .
- Checking if (u, v) is an edge takes  $\Theta(1)$  time.
- Identifying all edges takes  $\Theta(n^2)$  time.



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#### • Notes

- Weighted graphs  $\rightarrow A_{uv} = w_{uv}$
- Undirected graphs  $\rightarrow A = A^T$  (symmetric adj. matrix)
  - Duplicate information
- Inefficient if graphs are sparse (lots of "zero"s)
- Easy to determine quickly if there is a link between nodes i and j
  - A[i,k] + A[k,j]



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Demo code time!

• Directed vs. undirected





Graph-3



- Adjacency lists. Node-indexed array of lists.
  - Two representations of each edge.
  - Space is  $\Theta(m + n)$ .
  - Checking if (u, v) is an edge takes O(degree(u)) time.



degree = number of neighbors of u

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Demo code time!

• Directed vs. undirected





Graph-3



### Graph Definition: Summary

#### • Two common ways to represent graphs

- Adjacency matrix
- Adjacency list
- Adjacency matrix
  - Space: n<sup>2</sup> elements for n vertices
  - Easy to check if a link exists between two vertices
- Adjacency list
  - More common representation: most large real-world graphs are sparse
  - Space: Number of edges [2\*(number of edges) if undirected] + number of vertices, i.e., (m+n) or (2m+n)
  - Linked list implementation is typically used



- Paths and connectivity
- Def. A **path** in a <u>directed/undirected</u> graph G = (V, E) is a sequence of nodes  $v_1, v_2, ..., v_k$  with the property that each consecutive pair  $v_{i-1}, v_i$  is joined by a different edge in *E*.
- Def. A path is **simple** if all nodes are distinct.
- Def. An undirected graph is **connected** if for every pair of nodes *u* and *v*, there is a path between *u* and *v*.



Path1:  $0 \rightarrow 1 \rightarrow 2$ Path2:  $0 \rightarrow 1 \rightarrow 3 \rightarrow 2$ 



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- Cycles
- Def. A **cycle** is a path  $v_1, v_2, ..., v_k$  in which  $v_1 = v_k$  and  $k \ge 2$ .
- Def. A cycle is **simple** if all nodes are distinct (except for  $v_1$  and  $v_k$ ).



Cycle C =  $1 \rightarrow 2 \rightarrow 4 \rightarrow 5 \rightarrow 3 \rightarrow 1$ 



- Def. A <u>directed acyclic graphs (DAG)</u> is a directed graph that contains no directed cycles.
- We'll re-visit this later!



• Def. A bipartite graph is an <u>undirected</u> graph G = (V, E) in which V can be partitioned into two sets  $V_1$  and  $V_2$  such that  $(u, v) \in E$  implies either  $u \in V_1$  and  $v \in V_2$  or  $u \in V_2$  and  $v \in V_1$ . That is, all edges go between the two sets  $V_1$  and  $V_2$ .





- Trees
- Def. An undirected graph is a tree if
  - it is connected and
  - does not contain a cycle.

- Theorem. Let *G* be an undirected graph on *n* nodes. Any two of the following statements imply the third one:
  - 1. G is connected.
  - 2. G does not contain a cycle.
  - 3. G has n-1 edges.

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#### • Trees

- Def. An undirected graph is a tree if
  - it is connected and does not contain a cycle.
- Trees can be considered as special cases of graphs (trees  $\subseteq$  graphs)
- All graph algorithms can also be applied to trees
  - (with or without some modifications/simplifications)
- We are mostly interested in particular types of trees
  - Binary search trees  $\subseteq$  Binary trees  $\subseteq$  N-ary trees  $\subseteq$  Rooted trees
  - Recursion trees
  - Minimum spanning tree (MST)



- Rooted trees
- Given a tree T, choose a root node r and orient each edge away from r.





• Ex. binary tree, binary search tree, recursion trees

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root r

- Rooted trees
- Given a tree T, choose a root node r and orient each edge away from r.
  - One vertex designated as the root
  - Ex. binary tree, binary search tree, recursion trees





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#### Graph Traversal

#### Connectivity and Traversal

- <u>s-t connectivity problem</u>. Given two nodes *s* and *t*, is there a path between *s* and *t*? (is t reachable from s?)
- <u>s-t shortest path problem</u>. Given two nodes *s* and *t*, what is the length of a shortest path between *s* and *t*?
- [Strongly] connected component is a set of vertices all reachable from each other (mutually reachable)
- Connected component problem. Find all nodes reachable from *s*.
- Applications
  - Facebook, mutual friends
  - Maze traversal
  - Fewest hops in a communication network



#### Graph Traversal

- Traversal = Exploring = Searching
- A graph needs to be traversed in order to determine some properties
- Breadth-first search (BFS)
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•	Breadth-first search (BFS)
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	Implementation	Data Structure
BFS	Iterative	Queue (FIFO)
DFS	Recursive	(not explicitly required $\rightarrow$ execution stack)
	<u>Iterative</u>	Stack (LIFO)



- An efficient graph traversal procedure
- BFS starts from a source vertex "s"
- At each vertex u, all neighbors, i.e., vertices v adjacent to u are visited before moving on to vertices adjacent to some v
- <u>Iterative</u> implementation.
- Needs queue data structure

• Traversal = Exploring = Searching (visiting vertices one-by-one)



- An efficient graph traversal procedure
- BFS starts from a source vertex "s"
- At each vertex u, all neighbors, i.e., vertices v adjacent to u are visited before moving on to vertices adjacent to some v



BFS(G, s)

for each vertex  $u \in G.V - \{s\}$ u.color = WHITE2 3  $u.d = \infty$  $u.\pi = \text{NIL}$ parent s.color = GRAY $6 \ s.d = 0$  $s.\pi = \text{NIL}$  $Q = \emptyset$ ENQUEUE(Q, s)while  $Q \neq \emptyset$ 10 u = DEQUEUE(Q)11 12 for each  $v \in G.Adj[u]$ if v. color == WHITE 13 v.color = GRAY14 15 v.d = u.d + 116  $v.\pi = u$ 17 ENQUEUE( $Q, \nu$ ) 18 u.color = BLACKblack := visited & all unvisited neighbors added to the queue

white := unvisited node distance from source parent gray := visited node

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- Queue = {}
- Visited = {}





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- An efficient graph traversal procedure
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Source: "s"

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d = 2

Nothing left in the queue  $\rightarrow$  All nodes are visited  $\rightarrow$  Halt

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# Graph Traversal: BFS the "shortest distance" from the source!

Source: "s"

- An efficient graph traversal procedure
- BFS starts from a source vertex "s"
- At each vertex u, all neighbors, i.e., vertices v adjacent to u are visited before moving on to vertices adjacent to some v
- Queue =  $\{A, B, C, F, D, E, G\}$
- Visited =  $\{A, B, C, F, D, E, G\}$



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#### Nothing left in the queue $\rightarrow$ All nodes are visited $\rightarrow$ Halt

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Source: "s"

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- Queue = {}
- Visited =  $\{\}$

#### Demo code time!



В

- BFS runs in O(|V| + |E|) time
- The worst case is when the graph is connected.
  - Each vertex is added to the queue and removed from it exactly once
  - Each adjacency list is used exactly once



- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path.
- No explicit storage of vertices is required (BFS needs a queue)
- However, calls for each vertex build up on the <u>execution stack</u> (<u>recursive</u> implementation)
- An <u>iterative</u> implementation is possible using an explicit <u>stack</u> data structure.
- Traversal = Exploring = Searching (visiting vertices one-by-one)



#### A Note about Recursive Algorithms

- In general, recursive algorithms can be used in various setups:
  - Backtracking
    - Ex. Enumerating all subsets of a given set or array
    - Usually (not always!), in these cases we can expect an exponential runtime  $O(a^n)$ , where a is the number of possible options to choose at each step which is equal to the number branches after each node in the recursion tree.
  - Divide-and-Conquer (D&C)

Do you remember this slide?

- Dynamic programming (DP)
- Traversing a graph or tree using the depth-first search (DFS) approach



• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path



#### DFS(G)

- 1 for each vertex  $u \in G.V$ u.color = WHITE3  $u.\pi = NIL$
- time = 0
- for each vertex  $u \in G.V$ 
  - if *u*.color == WHITE DFS-VISIT(G, u)

#### DFS-VISIT(G, u)

u.f = time

- time = time + 1// white vertex u has just been discovered u.d = timeu.color = GRAY// explore edge (u, v)for each  $v \in G.Adj[u]$ if v. color == WHITE $\nu.\pi = u$ 6 DFS-VISIT $(G, \nu)$ u.color = BLACK
  - time = time + 1
- // blacken u; it is finished

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• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

- Stack =  $\{A\}$
- Visited =  $\{A\}$





- Stack =  $\{A, B\}$
- Visited =  $\{A\}$





- Stack =  $\{A, B\}$
- Visited =  $\{A\}$





- Stack =  $\{A, B, C\}$
- Visited =  $\{A, B\}$





• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

Stack = {A, B, C, D}
Visited = {A, B, C}





• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

Stack = {A, B, C, D, E}
Visited = {A, B, C, D}





• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

Stack = {A, B, C, D, E, G}
Visited = {A, B, C, D, E}



• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

• Stack =  $\{A, B, C, D, E, G, F\}$ • Visited =  $\{A, B, C, D, E, G\}$ 





• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

Stack = {A, B, C, D, E, G, F}
Visited = {A, B, C, D, E, G, F}





Pop

• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

- Stack = {A, B, C, D, E, G, }
  Visited = {A, B, C, D, E, G, F}
- No more path to explore  $\rightarrow$  backtrack







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Pop

• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

Stack = {A, B, C, D, E, , , }
Visited = {A, B, C, D, E, G, F}

• No more path to explore  $\rightarrow$  backtrack







• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

• No more path to explore  $\rightarrow$  backtrack





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• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

Stack = {A, B, C, X, X, X, X
Visited = {A, B, C, D, E, G, F}

• No more path to explore  $\rightarrow$  backtrack





• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

Stack = {A, B, X, X, X, X, X, Y
Visited = {A, B, C, D, E, G, F}

• No more path to explore  $\rightarrow$  backtrack





• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path

Pop
Stack = {A, X, X, X, X, X, X, X
Visited = {A, B, C, D, E, G, F}

• No more path to explore  $\rightarrow$  backtrack





- Stack =  $\{x, x, x, x, x, x, x, x\}$
- Visited =  $\{A, B, C, D, E, G, F\}$
- No more path to explore  $\rightarrow$  backtrack
- No more element in the stack  $\rightarrow$  Halt





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Note in this example we were able to reach all nodes without any backtracking. But this is not usually the case in many examples!





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Note in this example we were able to reach all nodes without any backtracking. But this is not usually the case in many examples!
- → Consider the same example, with minor difference:





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{A\}$
- Visited =  $\{A\}$





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
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- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{A, B\}$
- Visited =  $\{A\}$





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →

• Stack = 
$$\{A, B, C\}$$

• Visited =  $\{A, B\}$ 




- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →

• Stack = 
$$\{A, B, C, D\}$$

• Visited =  $\{A, B, C\}$ 





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →

• Stack = 
$$\{A, B, C, D\}$$

• Visited =  $\{A, B, C, D\}$ 





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{A, B, C, D\}$
- Visited =  $\{A, B, C, D\}$
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- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference  $\rightarrow$
- Stack =  $\{A, B, C, \mathbf{N}\}$
- Visited =  $\{A, B, C, D\}$
- No more path to explore  $\rightarrow$  backtrack

Pop



discovery | finishing time

3

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- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{A, B, \mathcal{G}, \mathcal{D}\}$
- Visited =  $\{A, B, C, D\}$
- No more path to explore  $\rightarrow$  backtrack



Pop

discovery | finishing time



- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{A, B, \mathcal{G}, \mathcal{D}, E\}$
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- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{A, B, \mathcal{Q}, \mathcal{Q}, E, G\}$
- Visited =  $\{A, B, C, D, E\}$





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{A, B, \mathcal{Q}, \mathcal{Q}, E, G, F\}$
- Visited =  $\{A, B, C, D, E, G\}$





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference  $\rightarrow$
- Stack =  $\{A, B, \mathcal{L}, \mathcal{D}, E, G, F\}$
- Visited =  $\{A, B, C, D, E, G, F\}$





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- Consider the same example, with minor difference →
- Stack =  $\{A, B, \mathcal{Q}, \mathcal{Q}, E, G, F\}$
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- No more path to explore → backtrack





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{A, B, \mathcal{D}, \mathcal{D}, E, G, \mathcal{D}\}$
- Visited =  $\{A, B, C, D, E, G, F\}$
- No more path to explore → backtrack





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{A, B, \mathcal{L}, \mathcal{D}, E, \mathcal{L}, \mathcal{D}\}$
- Visited =  $\{A, B, C, D, E, G, F\}$
- No more path to explore → backtrack



- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{A, B, \mathcal{D}, \mathcal{D},$
- Visited =  $\{A, B, C, D, E, G, F\}$
- No more path to explore  $\rightarrow$  backtrack



- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →

- Stack =  $\{A, \mathbf{k}, \mathbf{$
- Visited =  $\{A, B, C, D, E, G, F\}$
- No more path to explore → backtrack





- DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path
- Consider the same example, with minor difference →
- Stack =  $\{ \bigstar, \bigstar, \heartsuit, \heartsuit, \heartsuit, \heartsuit, \bigstar, \bigstar, \bigstar, \bigstar, \bigstar, \bigstar \}$

Pop

• Visited =  $\{A, B, C, D, E, G, F\}$ 

Nothing left to explore  $\rightarrow$  empty stack $\rightarrow$  Halt All nodes are visited, and we reach to the root





• DFS follows a single path as far (deep) as possible and then backtracks to the last alternative path





- DFS also runs in O(|V| + |E|) time
- DFS is called exactly once per vertex
- Each adjacency list is used exactly once

	Implementation	Data Structure	Running Time
BFS	Iterative	Queue (FIFO)	O( V  +  E )
DFS	Recursive	(not explicitly required $\rightarrow$ execution stack)	O( V  +  E )
	<u>Iterative</u>	Stack (LIFO)	



# Graph

- Graph definition and representation
  - Adjacency matrix
  - Adjacency list
- Graph traversal
  - Breadth first search (BFS)
    - Shortest path (<u>unweighted</u> graphs)
    - Testing bipartiteness
    - Tree traversal (level-order)
    - Connected components
  - Depth first search (DFS)
    - Topological sorting
    - Tree traversal (in-order, pre-order, post-order)
    - Connected components

- Graph problems/algorithms
  - Minimum spanning tree (MST)
    - Kruskal (greedy)
    - Prim (greedy)
  - Shortest path (directed weighted graphs)
    - Dijkstra (greedy)
    - Bellman-Ford (dynamic programming)
    - Floyd-Warshall (dynamic programming)
  - Flow network
    - Max-flow min-cut theorem
    - Ford-Fulkerson algorithm



#### Graph Traversal: Connected Component

• <u>Connected component problem</u>. Find all nodes reachable from *s*.

R will consist of nodes to which $s$ has a path	s		
Initially $R = \{s\}$			
While there is an edge $(u, v)$ where $u \in R$ and $v \notin R$			
Add v to R			
Endwhile	it's safe to add v		

- Upon termination, R is the connected component containing s.
  - BFS
  - DFS



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#### References

- The lecture slides are mainly based on the <u>suggested textbooks</u> and the corresponding published lecture notes:
  - CLRS: Cormen, T. H., Leiserson, C. E., Rivest, R. L., & Stein, C. Introduction to Algorithms, Third Edition, MIT Press, 2009.
  - KT: Kleinberg, J., & Tardos, E. Algorithm design. Pearson/Addison-Wesley, 2006.
  - DPV: Dasgupta, S., Papadimitriou, C. H., & Vazirani, U. V. Algorithms, McGraw-Hill Higher Education., 2008.
  - Slides by Kevin Wayne. Copyright © 2005 Pearson-Addison Wesley.

